Owen Bennett
foianswers.icymi@gmail.com

07 October 2021

Our Ref: FOI 2021/346-F1209908

Dear Owen Bennett,

Re: Freedom of Information (Scotland) Act 2002 – Request for Information

Thank you for your email which was received by the University on 11 August 2021 timed 14:33 hours, and subsequent clarifications received on 19 August timed 14:31 and 15:55 hours, and on 09 September timed 11:31 hours, requesting the following:

Original request:

I would like to make a request under the Freedom of Information Act

In the below request, the phrase 'arms trade companies' relates to, but not limited to:

BAE Systems,
Rolls Royce
GKN
Smiths Group
Cobham
VT Group
General Dynamics
General Electric
Halliburton,
L3 Communications,
Northrup Grumman
Raytheon.

Could you please provide:

1) The total amount, in pounds sterling, that the university has received in research funding from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

2) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

3) The total amount, in pounds sterling, that the university has received in research funding from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

4) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

5) A portfolio statement for the fund(s) the university has investments in. If you do not have a copy of this, please send me a list of the names of the fund(s) and the corresponding management companies. Details should be broken down, year-by-year for the last five financial years.

6) Please also confirm if any shares are held in the above companies as part of a pension fund or endowment, either directly or indirectly through an externally managed fund. Details should be broken down, year-by-year for the last five financial years.

<u>Clarification request:</u>
Please clarify what is meant by 'industrial research fees' in question 2.

Please clarify what is meant by 'total amount in pounds sterling' in questions 1-4. Does this mean cash received, the amount recognised in financial statements, or the amount invoiced?

Please clarify what is meant by research fees in question 2. Specifically, the word 'fees' requires clarification and not the word 'industrial'.

<u>Clarification received:</u>
Please just ignore the word 'industrial'.

Can I please have 'cash received'.

I mean research funding.

<u>University's Response</u>

1) The total amount, in pounds sterling, that the university has received in research funding from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

	2016/17	2017/18	2018/19	2019/20	2020/21
BAE systems				14,235.18	60,000.00
General Electric		78,000.00			
Rolls Royce UK		122,735.66	240,000.00	207,264.32	

2) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

	2016/17	2017/18	2018/19	2019/20	2020/21
BAE systems			6720		

3) The total amount, in pounds sterling, that the university has received in research funding from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

None.

4) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

	2016/17	2017/18	2018/19	2019/20	2020/21
MOD			2151.89		

5) A portfolio statement for the fund(s) the university has investments in. If you do not have a copy of this, please send me a list of the names of the fund(s) and the corresponding management companies. Details should be broken down, year-by-year for the last five financial years.

The University's annual list of investments for the past three financial years is currently accessible from the following location:

https://www.gla.ac.uk/myglasgow/finance/staffsections/financialoperations/financialreporting/sociallyresponsibleinvestmentpolicy/#anannuallistofinvestmentsheldforendowments.%E2%80%8C

Although the University of Glasgow does hold the information that you have requested for 2018-2020, the information is exempt under section 25 of the Freedom of Information (Scotland) Act 2002, as it is otherwise accessible.

Information regarding 2021 investments will be published on the University's website (at the address provided above). Accordingly, the information is exempt under section 27(1)(a) of the

Freedom of Information (Scotland) Act 2002, as it is intended for future publication at a date not later than 12 weeks from the date of the request being made.

Please note that the information requested for 2017 is currently being compiled by the University's Finance department and will be sent to you as soon as it is available.

6) Please also confirm if any shares are held in the above companies as part of a pension fund or endowment, either directly or indirectly through an externally managed fund. Details should be broken down, year-by-year for the last five financial years.

The pension scheme funds and investments are not the property of the University of Glasgow. Control of these investments lies with the Trustees of the Pensions Scheme. The investment managers used by the Trustees are AEW, Apollo, BlackRock (Diversified Growth and Long Lease Property), Insight, JP Morgan, Partners Group and Pyrford. The Trustees do not hold and direct shares, all equity investments are in pooled funds. If you require further details please write to The Secretary of the Pensions Scheme, c/o Finance Office (Tay House), University Avenue, Glasgow G12 8QQ.

The University of Glasgow does not hold the information that you have requested and is not aware of any other public authority that could respond to your request. Section 17 of FOISA states that where public authorities receive requests for information that they do not hold, they must issue a notice advising that they do not hold the requested information.

The supply of documents under the terms of the Freedom of Information (Scotland) Act 2002 does not give the applicant or whoever receives the information any right to re-use it in such a way that might infringe the Copyright, Designs and Patents Act 1988 (for example, by making multiple copies, publishing or otherwise distributing the information to other individuals and the public). The Freedom of Information (Scotland) Act 2002 (Consequential Modifications) Order 2004 ensured that Section 50 of the Copyright, Designs and Patents Act 1988 ("CDPA") applies to the Freedom of Information (Scotland) Act 2002 ("FOISA").

Breach of copyright law is an actionable offence and the University expressly reserves its rights and remedies available to it pursuant to the CDPA and common law. Further information on copyright is available at the following website:

http://www.ipo.gov.uk/copy.htm

Your right to seek a review

Should you be dissatisfied with the way in which the University has dealt with your request, you have the right to require us to review our actions and decisions. If you wish to request a review, please contact the Data Protection and Freedom of Information Office at foi@gla.ac.uk within 40 working days. Your request must be in a recordable format (letter, email, audio tape, etc). As the University of Glasgow is a Scottish Public Authority, your request is subject to the terms of the Freedom of Information (Scotland) Act 2002 ("FOISA"). You will receive a full response to your request for review within 20 working days of its receipt.

If you are dissatisfied with the way in which we have handled your request for review you may ask the Scottish Information Commissioner to review our decision. You must submit your complaint to the Commissioner within 6 months of receiving the response to review letter. The Commissioner's Office may be contacted as follows:

Online Appeal Service:
Website: www.itspublicknowledge.info/Appeal

By post:
The Scottish Information Commissioner
Kinburn Castle
Doubledykes Road
St Andrews
Fife
KY16 9DS

Telephone: 01334 464610
Fax: 01334 464611
E-mail: enquiries@itspublicknowledge.info

An appeal, on a point of law, to the Court of Session may be made against a decision by the Commissioner.

For further information on the review procedure please refer to (http://www.gla.ac.uk/services/dpfoioffice/policiesandprocedures/foisa-complaintsandreview/) All complaints regarding requests for information will be handled in accordance with this procedure.

Yours Sincerely,

Data Protection and Freedom of Information Office