INFORMATION COMPLIANCE TEAM
University Offices, Wellington Square, Oxford OX1 2JD

Ref. FOI/20210811/2

08 September 2021

Reply to request for information under the Freedom of Information Act	
Your ref	Emails of 11 August 2021
Request	See Annex

Dear Mr Bennett,

I write in reply to your email of 11 August, requesting the information shown at the Annex.

Items 1 to 4

We can search for information only against named funders, since our research accounts system does not record information by industrial sector. The amount of research funding received from the companies/organisations named in your request is as follows:

Sponsor	2015-16	2016-17	2017-18	2018-19	2019-20	Grand Total
Ministry of Defence	157,040.45	162,182.59	267,877.50	147,685.35	55,873.16	790,659.05
Rolls Royce	2,079,951.07	2,092,601.67	1,978,448.73	1,946,628.63	1,883,856.22	9,981,486.32
Totals	**2,236,991.52**	**2,254,784.26**	**2,246,326.23**	**2,094,313.98**	**1,939,729.38**	**10,772,145.37**

No funds were received from any of the other companies named in your request.

Item 5

The University invests its endowment funds in 'The Oxford Funds' (the 'Funds'), which are pooled vehicles managed by Oxford University Endowment Management (OUem). The University is only one of several investors, and all investors own units in the Funds. The Funds are themselves invested primarily in other pooled vehicles. You can find further information on OUem and its activities at www.ouem.co.uk. OUem does not provide investors in the Funds with lists of the underlying investments: consequently, the University does not hold the information requested. OUem regards this information to be exempt from disclosure under FOIA, as it is confidential and commercially sensitive. However, if you wish to pursue this request, you may contact OUem by emailing communications@ouem.co.uk.

Item 6

The information requested is not held.

INTERNAL REVIEW

If you are dissatisfied with this reply, you may ask the University to review it, by writing to the Head of Information Compliance at foi@admin.ox.ac.uk. A request for internal review should be submitted no later than 40 working days from the date of this letter.

THE INFORMATION COMMISSIONER

If, after the internal review, you are still dissatisfied, you have the right under FOIA to apply to the Information Commissioner for a decision as to whether your request has been dealt with in accordance with the FOIA. You can do this online using the Information Commissioner's complaints portal.

Yours sincerely

Information Compliance Team

INFORMATION COMPLIANCE TEAM
University Offices, Wellington Square, Oxford OX1 2JD

ANNEX

In the below request, the phrase 'arms trade companies' relates to, but not limited to:

BAE Systems, Rolls Royce, GKN, Smiths Group, Cobham, VT Group, General Dynamics
General Electric, Halliburton, L3 Communications, Northrup Grumman, Raytheon.

Could you please provide:

1) The total amount, in pounds sterling, that the university has received in research funding from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

2) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from arms trade companies in the last five financial years.
Details should be broken down for each company, year-by-year for the last five financial years.

3) The total amount, in pounds sterling, that the university has received in research funding from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

4) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from the Ministry of Defence over the last five financial years.
Details should be broken down, year-by-year for the last five financial years.

5) A portfolio statement for the fund(s) the university has investments in. If you do not have a copy of this, please send me a list of the names of the fund(s) and the corresponding management companies. Details should be broken down, year-by-year for the last five financial years.

6) Please also confirm if any shares are held in the above companies as part of a pension fund or endowment, either directly or indirectly through an externally managed fund. Details should be broken down, year-by-year for the last five financial years.