FOI Request – FOI2223/04/05
I refer to your Freedom of Information request received on 12 April 2023

Your Request
In the below request, the phrase 'arms trade companies' relates to, but not limited to:
1.BAE
2. Babcock
3. QinetiQ
4. Rolls-Royce
5. Serco
6. Cobham
7. Raytheon
8. Airbus
9. General Dynamics
10. Leonardo MW
11. Lockheed Martin
12. Thales
13. MBDA
14. Elbit Systems
15. Caterpillar
16. Ministry Of Defence
17. DSTL
18. Atomic Weapons establishment
19. GKN
20. Boeing
Please may you provide:
1) Any investment made into an arms trade company, detailing the name of the arms trade company/organisation, amount invested, and type of investment (e.g. bonds, stocks and shares, capital etc.) of that financial year, for the past five financial years.
2) Any investment made into an arms trade company by the university endowment fund organised by name of company/organisation, amount invested of that financial year, for the past five financial years
3.) The name of any investment management firm or bank the university uses to make investments, and the amount given to them annually. If they do provide a list of the names of companies/organisations/investments they make on the university's behalf, please include any investments in arms trade companies provided by this information.

University's Response
Further to Section 1 of the Freedom of Information Act 2000 (the "Act") we confirm that the information requested is held by the University of Sunderland (the "University").

1) Any investment made into an arms trade company, detailing the name of the arms trade company/organisation, amount invested, and type of investment (e.g. bonds, stocks and shares, capital etc.) of that financial year, for the past five financial years.
2) Any investment made into an arms trade company by the university endowment fund organised by name of company/organisation, amount invested of that financial year, for the past five financial years
N/A. The University has had no direct investment and no material indirect investment through any funds held in any of the listed firms in the last five years.

3.) The name of any investment management firm or bank the university uses to make investments, and the amount given to them annually. If they do provide a list of the names of companies/organisations/investments they make on the university's behalf, please include any investments in arms trade companies provided by this information.
CCLA and Brewin Dolphin. The University does not make annual payments.

If you have any further enquiries about this matter, please do not hesitate to contact us by emailing sunfoi@sunderland.ac.uk.

Kind regards,
Kate O'Neill
Compliance & Legal Administrator
Human Resources, Legal & Governance
University of Sunderland
Sunderland
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