Ref No: 5564
Date: 13th September 2023
Subject: Arms Trade Companies

REQUEST

1.BAE systems

2. Babcock

3. QinetiQ

4. Rolls-Royce

5. Serco

6. Cobham

7. Raytheon

8. Airbus

9. General Dynamics

10. Leonardo MW

11. Lockheed Martin

12. Thales

13. MBDA

14. Elbit Systems

15. Caterpillar

16. MOD

17. DSTL

18. Atomic Weapons establishment

19. GKN

20. Boeing

Please may you provide:

1) Any investment made into an arms trade company, detailing the name of the arms trade company/organisation, amount invested, and type of investment (e.g. bonds, stocks and shares, capital etc.) of that financial year, for the past five financial years.

2) Any investment made into an arms trade company by the university endowment fund organised by name of company/organisation, amount invested of that financial year, for the past five financial years

3.) The name of any investment management firm or bank the university uses to make investments, and the amount given to them annually. If they do provide a list of the names of companies/organisations/investments they make on the university's behalf, please include any investments in arms trade companies provided by this information.

Clarification issued

Within your request you have indicated that the scope of "arms trade companies" to be considered relates to the list of companies provided but is "not limited to" this list. The University does not have a means by which to classify companies as 'arms trade companies' within its systems and therefore it would require a subjective assessment to categorise companies the University may have invested in. As such, when processing your request the University would only be able to consider the companies you have listed. We would be grateful if you could confirm whether you wish to proceed with your request on this basis.

Clarification recived

Thank you for getting in touch. I understand the constraints for your team and am happy for you to go forward with the request, only with the companies listed.

RESPONSE

The University has no investments with the companies listed.

Your request i.d. is: 5564. Please quote this in all enquiries.

The University aims to comply fully with its obligations under the Freedom of Information Act 2000 and to ensure that the service it provides for those wishing to gain access to information is helpful and effective.

The personal information you have supplied will be used only to process your request; some details will be retained for our records after the request has been answered. This information will not be passed on to other parties unrelated to the University unless we are required to do so by law, or where it would be necessary to answer the request in full (in which case we would seek your consent for any transfer).

Process for Making a Complaint

If you feel the service you have received does not meet our aims or your expectations, you are entitled to request that the University undertakes an internal review of the way we have responded to this request. Requesters should make requests for an internal review no later than 40 working days after the date of this document. The University is not obliged to accept internal reviews after this point.

To request an internal review, please write to:

Head of Governance Services
University House
Lancaster University
Bailrigg
Lancaster
LA1 4YW

Email: information-governance@lancaster.ac.uk

If, following our internal review, you are dissatisfied with the response provided, you may write to the Information Commissioner's Office, for details visit www.ico.org.uk.