I am writing in response to your request under the Freedom of Information Act 2000 whereby you requested –

In the below request, the phrase 'arms trade companies' relates to, but not limited to:

BAE Systems,

Rolls Royce

GKN

Smiths Group

Cobham

VT Group

General Dynamics

General Electric

Halliburton,

L3 Communications,

Northrup Grumman

Raytheon.

Could you please provide:

1) The total amount, in pounds sterling, that the university has received in research funding from arms trade companies in the last five financial years. Details should be broken down for each company, year-by-year for the last five financial years.

2) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from arms trade companies in the last five financial years.

Details should be broken down for each company, year-by-year for the last five financial years.

3) The total amount, in pounds sterling, that the university has received in research funding from the Ministry of Defence over the last five financial years. Details should be broken down, year-by-year for the last five financial years.

4) The total amount, in pounds sterling, that the university has received in the form of industrial consultancy and industrial research fees from the Ministry of Defence over the last five financial years.

Details should be broken down, year-by-year for the last five financial years.

5) A portfolio statement for the fund(s) the university has investments in. If you do not have a copy of this, please send me a list of the names of the fund(s) and the corresponding management companies. Details should be broken down, year-by-year for the last five financial years.

6) Please also confirm if any shares are held in the above companies as part of a pension fund or endowment, either directly or indirectly through an externally managed fund. Details should be broken down, year-by-year for the last five financial years.

Please be advised that in relation to questions 5 and 6, for the last five financial years, we would be looking at checking over 150 reports for the endowment investments alone, and this does not include the reports we would need to check for the pension funds. If we said it would take 10 mins per endowment reports, this would take 25 hours.

As this task would take in excess of the 18 hour 'Appropriate Limit' (as defined in the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004). As a result, Section 12 of the Freedom of Information Act 2000 applies and regrettably this task cannot be undertaken at this stage. This email serves to act as a refusal notice for this request, as per Section 17 (5) of the Act.

In relation to the first four questions please see the table below –

BAE Systems	20/21	Research Funding	£830,546

		Consultancy	£4,033
	19/20	Consultancy	£60,374
	18/19	Research Funding	£48,000
	17/18	Research Funding	£92,900
	16/17	Research Funding	£28,500
Rolls Royce	20/21	Research Funding	£137,560
		Consultancy	15,000
	19/20	Research Funding	£630,087
	18/19	Research Funding	£1,282,232
	17/18	Research Funding	£3,660,870
	16/17	Research Funding	£1,279,508
GKN	19/20	Research Funding	£520,000
Smiths Group			Nil
Cobham			Nil
VT Group			Nil
General Dynamics			Nil
General Electric			Nil
Halliburton			Nil
L3 Communications			Nil
Northrup Grumman			Nil
Raytheon			Nil
Ministry of Defence	20/21	Research Funding	£406,749
	19/20	Research Funding	£26,763
	16/17	Research Funding	226,639

This information is provided as a gesture of goodwill / as it was more readily extractable however it does not affect the University's legal right to rely on the Fees Regulations for the remainder of your request.

The University of Manchester Freedom of Information Act appeals procedure

If you feel that The University of Manchester has refused access to information to which you are entitled, or has not dealt with your request appropriately under the FOIA, you have a right of appeal.

An appeal in the first instance should be directed to the Information Governance Office at mtrsfoia@manchester.ac.uk. You should include:

- details of your initial request
- any other relevant information

You must make this appeal within 40 working days from receipt of your response. We will not accept appeals received after this date, as per the Freedom of Information Code of Practice, Section 5.3.

The University will deal with your appeal within a reasonable time, and will inform you of the projected time scale on receipt of your complaint. You are also welcome to contact the Information Governance Office with informal questions about the handling of your request.

After The University's internal appeals procedure has been exhausted, you have a further right of appeal to the Information Commissioner's Office. Details of this procedure can be found at www.ico.org.uk.

Best wishes

Holly

Holly Haslam | Information Officer | Information Governance Office | Directorate of Compliance and Risk |Professional Services | G7 Christie Building | The University of Manchester | Oxford Road | Manchester | M13 9PL | Tel +44(0) 161 306 7549| www.manchester.ac.uk